



VAUGHAN & CO. SECURITIES, INC.

68 PASSAIC STREET · RIDGEWOOD, NEW JERSEY 07450

1-201-444-1361

JDVIII@VAUGHANANDCO.COM

WWW.VAUGHANANDCO.COM

Vaughan Asset Allocation Investment Program

Equity Portfolio

April 30, 2026

Registered Investment Advisors
“Family Prosperity Forever”



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Vaughan Asset Allocation Investment Program

Equity Portfolio

A Separately Managed Account

Description:

- ◆ The Vaughan Asset Allocation Investment Program invests in various asset class securities, commonly Exchange Traded Funds (ETF) and Mutual Funds.
- ◆ Although Fixed Income and other asset class securities can be purchased, the Vaughan Asset Allocation Program is an equity based program. We invest as the owners of businesses.
- ◆ When a given asset class increases in price compared to other asset classes in the portfolio, then we start to consider selling.
- ◆ Portfolio investments are made in Large Companies and Small, Value and Growth style companies, Developed and Emerging Market companies.



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Current Portfolio Information at April 30, 2026:

- ◆ Current Dividend Yield: 1.41% - subject to change.
- ◆ Diversification: Minimum 6 Asset Classes. Target maximum 25% in any one Position. Target maximum 30% Foreign companies.
- ◆ Asset Class: Large and Small Companies, Value and Growth Styles, Developed and Emerging Market Companies.
- ◆ ETF Management Fee: In addition to the management fee that Vaughan & Co. charges, each ETF carries an internal management fee charged by the manager of that security. The anticipated average ETF management fee for the Equity Portfolio is approximately 15 Basis points (0.15 Percent)



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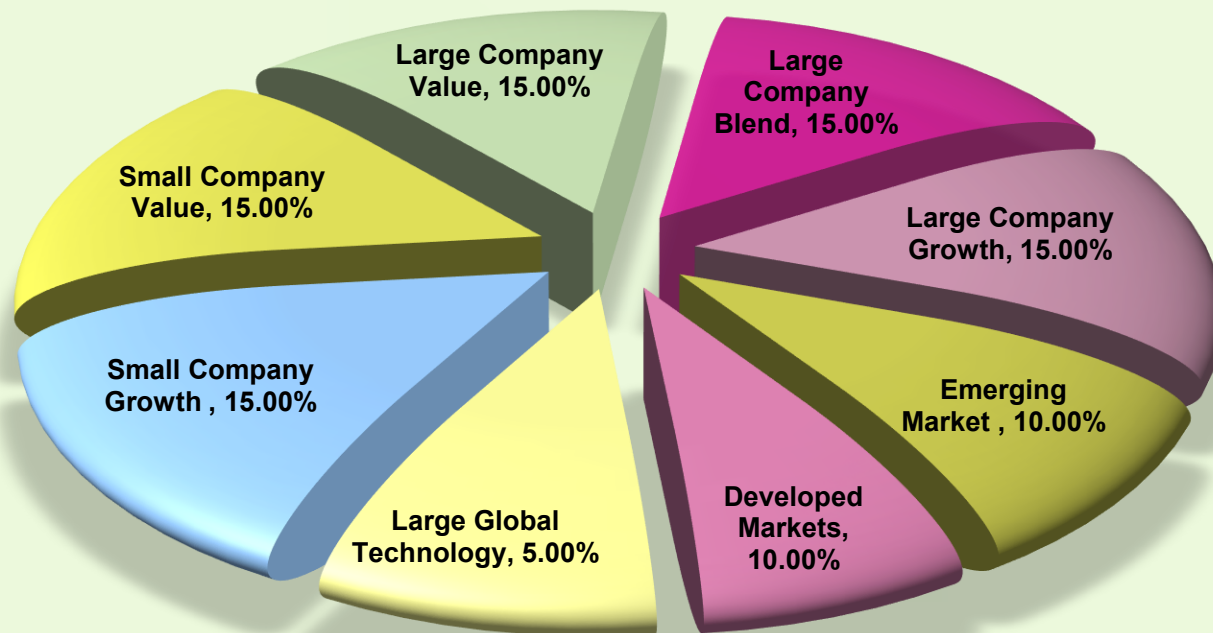
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Vaughan Asset Allocation Investment Program

Equity Portfolio

Current Portfolio Holdings (April 30, 2026)





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Transaction Strategies:

- Periodic review of portfolio compares current holdings to our overall portfolio standards. If that review reveals significant departure from said standards, rebalancing will be used to approximate our models. This rebalancing reflects our belief in the cyclical nature of the markets by selling positions that have performed better than the portfolio as a whole and using those proceeds to rebalance the portfolio.
- Positions sold, usually partial positions, are replaced by new investments, in a new asset class or additions to an existing asset class.
- Using ETF's allows for Tax-Loss Harvesting when advantageous to the client.



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Vaughan Disclaimer

It should not be assumed that your account holdings will correspond directly to any comparative indices or any of our existing client accounts. Investments in foreign securities have additional risks including the risk of adverse currency fluctuations. Please remember that different types of investments involve varying degrees of risk, and current and future results may be higher or lower than those shown. Figures shown are past results and are not predictive of results in future periods. Share prices and returns will vary, so investors may lose money. Investing for short periods make losses more likely. It should not be assumed that recommendations made in the future will be profitable or will equal past performance.

For the Vaughan Dividend Growth Program, performance is based on the account that was managed for the longest period of time and results are illustrated from inception. All income, dividends, interest and other earnings are reinvested. Performance based fees can only be utilized by individuals who meet the following qualifications:

- a) A natural person who or a company that immediately after entering into the contract has at least \$1,000,000 under the management of the investment advisor; or
- b) A natural person who or a company that the investment advisor entering into the contract (and any person acting on his behalf) reasonably believes, immediately prior to entering into the contract, has a net worth (together, in the case of a natural person, with assets held jointly with a spouse) of more than \$2,100,000 at the time the contract is entered into.

Updated 02/12/2020



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Disclaimers (cont.)

For the Vaughan Equity Asset Allocation Program, performance is based on an account that was among the earliest to use the program. Vaughan & Co. Securities, Inc. believes that these results are representative. All income, dividends, interest and other earnings are reinvested.

There may be market or economic conditions that affect performance. Vaughan & Co. Securities, Inc. buys concentrated positions for our portfolios, which may make our performance more volatile than that of broad market indices and our performance may diverge from an index, positively or negatively, as a result. Investments are not FDIC-insured, nor are they deposits of or guaranteed by a bank or other entity. Vaughan Asset Allocation and Vaughan Dividend Growth account results are net of all fees, reflecting trading commissions, maintenance, custody, advisory, and performance fees, if any.

It should not be assumed that the recommendations made in the future will be profitable or will equal past performance.

Data and information contained in any chart used by Vaughan & Co. Securities, Inc. has been supplied by sources we believe to be reliable but is not guaranteed.

Accounts held at Fidelity Investments are covered by SIPC.